

# REGISTRATION FORM

Sustainable Dividends Value Fund is an initiative of Sustainable Dividends B.V.



## SUSTAINABLE DIVIDENDS

value fund

**Attention! This investment falls outside AFM supervision.  
No license and no prospectus required for this activity.**





## APPLICATION PROCEDURE



Send your  
registration in



Your subscription  
is processed



Perform your  
initial deposit



You participate  
in the Fund



The information in this form is required to process the registration. Some of the questions stem from legal obligations to prevent money laundering and terrorist financing risks. This requires, for example, an understanding of the registrant's background and the origin of assets. The information is shared with AssetCare, the Fund's Administrator. They handle the subscription and contact you if additional information is required.

Do you have any questions while filling in the form? If so, please feel free to contact AssetCare on 020 244 00 92 or [info@assetcare.nl](mailto:info@assetcare.nl)

## INFORMATION ABOUT THE SUSTAINABLE DIVIDENDS VALUE FUND

The documents below provide insight into the Fund's features, such as its investment policy and risks.

Sustainable Dividends Value Fund

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→ Information memorandum (IM)

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→ Key information document (Eid)

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→ Fund conditions (Annex 2 of the IM)

You are advised to read through the documents carefully in advance and save them for reference at any time.



Want to access a web page from the form? Make sure the document is saved so your data does not disappear.



## DATA PARTICIPANT

Fill in the required details below.

	First Participant	Second Participant <sup>1</sup>		
Company name <sup>2</sup>				
Chamber of Commerce number <sup>2</sup>				
Full name <sup>3</sup>				
Email address				
Phone number				
Address <sup>4</sup>				
Postcode <sup>4</sup>				
Place <sup>4</sup>				
Country <sup>4</sup>				
Nationality				
Date of birth				
Place of birth				
Country of birth				
Fiscal country of residence(s) <sup>4</sup>				
BSN / Tax identification number(s) <sup>4,5</sup>				
The information below is only required in the case of an individual or joint registration.				
Employment	Wage employment Pension No	Independent Student	Wage employment Pension No	Independent Student
Profession <sup>6</sup>				
Name of employer <sup>6</sup>				
Place of employer <sup>6</sup>				
Sector <sup>6</sup>				

<sup>1</sup> Required only for joint registration or business registration with multiple proxies.

<sup>2</sup> Required only for business registration.

<sup>3</sup> For a business registration, fill in the details of the authorised representative(s).

<sup>4</sup> For a business registration, fill in the details of the company.

<sup>5</sup> Fill in the tax identification number (personal number) if you are tax liable outside the Netherlands.

<sup>6</sup> Fill in the details of your current or last profession or leave it blank if you have no work experience yet.



## SUBSCRIPTION



Subscription in the Sustainable Dividends Value Fund is possible from €100,000 onwards. The name of the bank account from which the subscription amount is transferred should exactly match the name of the subscription.

Enter the initial subscription amount and bank account details below.

Entry fee	€
IBAN	
Ascription	

## DEPOSIT INSTRUCTIONS



Units will then be allocated in accordance with the methodology described in the Information Memorandum. The Fund's Participation Day is usually on the first business day of the month. Subsequently, a transaction note will be sent with an overview of your Units.

For completeness, the table below contains the deposit instructions.

IBAN	NL82 ABNA 0249 3788 09
Ascription	Foundation Legal Owner Sustainable Dividends Value Fund
Citing	<i>Participant's full name or company name</i>
Bank Identifier Code (BIC)	ABNANL2A
Address	Mondriaantoren, 19th floor Amstelplein 40 C 1096 BC Amsterdam

## GENERAL

The following questions are designed to gain further insight into your registration.

	First participant		Second participant <sup>7</sup>	
Are you a PEP (Politically Exposed Person)?	Yes	No	Yes	No
Are you a U.S. Person?	Yes	No	Yes	No

How did you get in touch with the Fund?



Consult [this webpage](#) for more information on (Pseudo) UBO(s), PEPs and U.S. Persons.

<sup>7</sup> Required only for joint registration or business registration with multiple proxies.



STATEMENT OF ORIGIN OF ASSETS



The Prevention of Money Laundering and Financing of Terrorism Act (Wwft) requires investment institutions, among other things, to identify their Unitholders, report unusual transactions and investigate the origin of assets. There is also an obligation to keep Unitholders' records up to date.

Within the framework of laws and regulations, we kindly ask you to fill in the details below.

Do you expect make multiple deposits?	Yes	No
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If yes, can you explain further (frequency and expected amounts)?

Do you expect to make withdrawals in the interim?	Yes	No
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If yes, can you explain further (frequency and expected amounts)?

In the table below, select the sources that explain your source of the deposit(s), including the percentage of each source. The total of the percentages should be 100%.

Salary	%	Savings	%
Income from corporate activities	%	Investment income	%
Property	%	Pension	%
Inheritance/gift	%	Family assets	%
Otherwise:			%

Additional information may be required on the (substantiation of the) origin of the assets. This could include a textual explanation or documentation (such as a pay slip or your company's financial statements).

To enable us to assess your application as accurately and quickly as possible, you can also add a textual explanation of origin in advance below.



Refer to [this webpage](#) for more information on sources regarding the origin of wealth.



The information on this and the following page is only required in the case of a business registration.

(Pseudo) beneficial owner(s) (UBO(s)) and financial institution

Does the entity qualify as a Financial Institution? Yes  No

Does the entity have one or more UBOs with an interest of 25% or more?

Yes→ The table below and the following page can be completed and signed by the UBO(s).

No→ The person with actual control (the Pseudo UBO) may complete and sign the table below and the following page.



For more information on a Financial Institution, consult [this webpage](#).

	First UBO		Second UBO <sup>8</sup>		Third UBO <sup>8</sup>		Fourth UBO <sup>8</sup>	
Full name								
Direct interest								
Indirect interest								
Pseudo UBO	Yes <input type="checkbox"/>	No <input type="checkbox"/>						
Address								
Postcode								
Location								
Country								
Nationality								
Date of birth								
Place of birth								
Country of birth								
Email address								
Country(ies) of residence for tax purposes <sup>9</sup>								
BSN / Tax identification number(s)								
Are you a PEP?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Are you a U.S. Person?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>

<sup>8</sup> Required only when there are multiple UBOs.

<sup>9</sup> Fill in the tax identification number (personal number) if you are tax liable outside the Netherlands.



The details on this and the previous page are only required in case of a business registration.

## DECLARATION AND SIGNATURE OF THE PSEUDO UBO(S)

By signing the Registration Form, you declare:

- that the details on the previous page have been filled in truthfully; and
- That any changes to the above details be communicated within 30 days.

	First UBO	Second UBO <sup>10</sup>	Third UBO <sup>10</sup>	Fourth UBO <sup>10</sup>
Date				
Location				
Name				
Function				
Signature <sup>11</sup>				



Based on the Common Reporting Standard ("CRS") and the Dutch Intergovernmental Agreement, which governs the introduction of the Foreign Account Tax Compliance Act, Dutch law requires financial institutions to verify where you are a tax resident. It is required by law to report the details of tax residents of CRS countries and/or U.S. persons to the Dutch tax authorities. The latter passes on the data to the tax authority of the country concerned.

For more information, for example on CRS member countries, please visit [www.belastingdienst.nl](http://www.belastingdienst.nl).

<sup>10</sup> Required only when there are multiple UBOS.

<sup>11</sup> Whether you can add a digital signature depends on the programme you open it with and your settings. Can't add a digital signature? Then print out the form, add a handwritten signature, and scan or photograph the signed document.



## DOCUMENTS REQUIRED

Below is an overview of the documents required in each case to process the registration

Private Participant(s)	Corporate Participant
A fully completed registration form	A fully completed registration form
A copy of proof of identity <sup>12,13</sup>	A copy of the proof of identity <sup>13</sup> of the directors, signatories and (Pseudo) UBO(s)
A copy of a recent bank statement verifying IBAN, ascription and private address	A copy of a recent bank statement from the entity to verify the IBAN, ascription and address
Documentation supporting the source of assets (such as a pay slip)	A copy of a recent private bank statement of the (Pseudo) UBO(s) to verify the private address
	Recent extract from the Chamber of Commerce
	Organisational chart of shareholders
	Articles of association of the entity
	Shareholders' register
	Documentation supporting the source of assets (such as financial statements)



AssetCare will contact you by phone or email if additional information or documentation is required

No fee will be charged for your registration. Any fees associated with depositing the registration amount in the Legal Owner's account will be at your expense.

<sup>12</sup> In the case of a joint subscription, this is required for both the first and second Unitholder.

<sup>13</sup> Passport or (Dutch) identity card. A colour copy of the front and back of the plastic holder page/ID card is required.

## SUBJECT

By the Registration Form, you declare:

- to have completed the Registration Form truthfully;
- changes to data in this form should be notified within 30 days via the Change of Data Form;
- to have taken note of the IM, the Eid and the Terms and Conditions;
- accept the applicability of the IM, the Eid and the Terms;
- understand and accept the risks associated with investing in this Fund;
- meet the investor profile as set out in the IM;
- subscribe for, acquire and hold the Units for its own account;
- agree that Fund Manager will use and process the data provided by you in line with the privacy statement, including the administration of the Fund and to comply with legal obligations;
- agree that data may be shared with third parties listed in the IM if this is required for these parties to comply with obligations under legislation;
- agree that data may be transferred to the Dutch tax authorities for CRS and FATCA purposes;
- agree to data being passed on to the Chamber of Commerce for the purpose of the so-called "UBO register of trusts";
- agree to the Fund Manager using your details to provide - in addition to the reports described in the IM - periodic information about the Fund, Units therein and other investment-related matters; and
- be aware that the Fund is not subject to supervision by the AFM because Section 2:66a of the Financial Supervision Act applies.

This form and the required documents will be used to process your registration and carry out the subsequent service provision. The registration cannot be processed in case the registration is incomplete.

First participant	Second participant <sup>14</sup>
Date	
Location	
Name	
Signature <sup>15</sup>	

<sup>14</sup> Required only for joint registration or business registration with multiple proxies.

<sup>15</sup> Whether you can add a digital signature depends on the programme you open it with and your settings. Can't add a digital signature? Then print out the form, add a handwritten signature, and scan or photograph the signed document.



## SEND IN FORMS

The form can be signed and sent to [info@assetcare.nl](mailto:info@assetcare.nl) (with [info@sustainabledividends.com](mailto:info@sustainabledividends.com) in the CC) or by post to AssetCare. You will receive an e-mail confirmation when the registration has been processed.



Send your  
registration in



Your subscription  
is processed



Your subscription  
has been agreed



You participate  
in the Fund

Do you have any questions about enrolment in the interim? Feel free to contact AssetCare using the contact details below:



+31 (0) 20 244 00 92

Available every working day from  
08:00 to 18:00



[info@assetcare.nl](mailto:info@assetcare.nl)

We aim to reply to your e-mail  
within 24 hours



AssetCare

Mondriaantoren, 19th floor  
Amstelplein 40 C  
1096 BC Amsterdam

Fund Manager and Administrator protect the privacy of its Unitholders. All privacy-sensitive information provided is covered by the privacy statement. Fund Manager and Administrator make reasonable efforts to treat this information confidentially and to use it only for the purposes, as described in the privacy statement. Administrator and Administrator work in accordance with Dutch privacy legislation (AVG). To carry out a registration, Beheerder and Administrateur need some data such as name and e-mail. These data may also be used to keep you, as a participant, informed of developments in the Fund or to send you other information that may be of interest. You decide whether you want to participate in this. You may change your choice at any time.

No Fund Manager or Administrator will provide Unitholders' information to third parties other than those listed in the IM without permission, unless otherwise required by law.

Administrator and Administrator reserve the right to modify the privacy statement if changes in policy, business or law or jurisprudence warrant it.

If you have any questions or comments about the privacy statement please do not hesitate to contact Administrator at [info@assetcare.nl](mailto:info@assetcare.nl)